

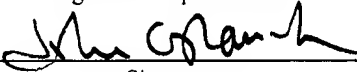
I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, D.C. 20231  
on November 3, 2000

Date of Deposit

John G. Rauch, Reg. No. 37,218

Name of applicant, assignee or  
Registered Representative



Signature

11/3/2000

Date of Signature



Case No. 9623-179

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Phillip G. Rorex  
Thomas A. Soulanille  
Bradley R. Haugaard

Serial No.: 09/575,894

Filed: May 22, 2000

For: METHOD AND  
APPARATUS FOR  
IDENTIFYING  
RELATED SEARCHES  
IN A DATABASE  
SEARCH SYSTEM

Attention: Manager,  
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION  
FILING DATE GRANTED**

Commissioner for Patents  
Washington, D.C. 20231

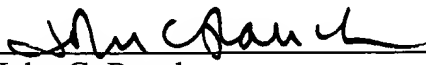
Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated August 4, 2000, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.
- ☒ Applicant is:
  - ☐ a small entity, verified statement is:
    - ☐ attached
    - ☐ already filed
  - ☒ other than small entity
- ☒ Checks totaling \$1010 for:
  - ☒ Filing Fee of \$710.
  - ☒ Surcharge of \$130.
  - ☒ Additional Claim Fees of \$170.
- ☒ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☐ Other: \_\_\_\_\_.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: November 3, 2000

  
\_\_\_\_\_  
John G. Rauch  
Registration No. 37,218  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200

## FORMALITIES LETTER



\*OC000000005297134\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/575,894	05/22/2000	Phillip G. Rorex	9623/179

John G Rauch  
Brinks Hofer Gilson & Lione  
PO Box 10395  
Chicago, IL 60610



Date Mailed: 08/04/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$168.
  - \$90 for 5 total claims over 20.
  - \$78 for 1 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 988.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/09/2000 RHARTIS1 00000085 09575894  
01 FC:101 710.00 DP  
02 FC:102 80.00 DP  
03 FC:103 90.00 DP  
04 FC:105 130.00 DP

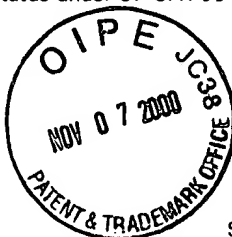
SECTOR \$

TRANSMITTAL LETTER			Case No. 9623/179
Serial No. 09/575,894	Filing Date May 22, 2000	Examiner not yet assigned	Group Art Unit not yet assigned
Inventor(s) Phillip G. Rorex, et al.			
Title of Invention METHOD AND APPARATUS FOR IDENTIFYING RELATED SEARCHES IN A DATABASE SEARCH SYSTEM			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Checks for \$110; 1010 and 40; Petition and Fee for Extension of Time, in duplicate; Response to Notice to File Missing Parts, in duplicate; copy of Notice to File Missing Parts; Power of Attorney; Declaration; Assignment Recordation Sheet; Assignment; postcard evidencing receipt.

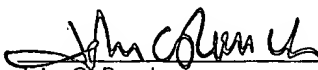
- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☒ Petition for a one month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:



					Small Entity		or	Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee		Rate	Add'l Fee
Total		Minus			x \$9 =			x \$18 =	
Indep.		Minus			x 40 =			x \$80 =	
First Presentation of Multiple Dep. Claim					+ \$135 =			+ \$270 =	
					Total add'l fee	\$		Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
 John G. Rauch  
 Registration No. 37,218  
 Attorney for Applicant

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 P.O. BOX 10395  
 CHICAGO, ILLINOIS 60610  
 (312) 321-4200

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Date: 11/3/2000 Signature: 